

Foreign National – Inoperative Account Activation / Re-KYC Updation Form

Date :

DD

MM

YYYY

Service Request No.:

CRN:

Customer Name:

FIRSTNAME

MIDDLENAME

SURNAME

CUSTOMER INSTRUCTIONS (Applicable only if account is Inoperative)

Dear Sir/Madam,

I/We request you to activate my/our Inoperative accounts listed below:-

Account number:

OR

All accounts under above mentioned CRN with mode of operation singly/either or survivor/any one

The reason for no operation in the account _____.

Important Note:

_____ I/We also request you to claim the funds transferred to RBI DEAF for the above mentioned account/s. I/We have attached a separate DEAF claim form.

Customer Declaration:
(Please tick the relevant Option)

Consent to download KYC documents from Central KYC Registry (CKYCR)

I give my consent to download my KYC Records from the Central KYC Registry (CKYCR), only for the purpose of verification of my identity and address from the database of CKYC Registry and updation of the same in the Bank's records. I understand that my KYC record includes my personal information such as my name, address, date of birth, PAN number, etc. I agree to submit fresh KYC documents, in case the documents available in CKYCR database are not legible OR not in line with the Bank's extant KYC policy OR any other reason as specified in RBI's Master Direction of KYC (updated from time to time).

Declaration for Processing of Request Without CKYCR Repository Data Check

I am voluntarily sharing my KYC documents for account opening / maintenance / KYC purpose / any other banking services and hereby authorize Kotak Mahindra Bank to process the request without the CKYCR repository data check.

KYC information of Primary Holder

Please update the following information in your records. Also enclosed is the self-attested copy of my Passport, Visa / Permit and FRRO Registration.

Passport Details	Passport Number <div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div></div>	Date of Issue <div><div>DD</div><div>MM</div><div>YY</div><div></div><div></div><div></div></div>	Date of Expiry <div><div>DD</div><div>MM</div><div>YY</div><div></div><div></div><div></div></div>
VISA Details	VISA Type <div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div></div>	Date of Issue <div><div>DD</div><div>MM</div><div>YY</div><div></div><div></div><div></div></div>	Date of Expiry <div><div>DD</div><div>MM</div><div>YY</div><div></div><div></div><div></div></div>
PAN		Date of Birth <div><div>DD</div><div>MM</div><div>YY</div><div></div><div></div><div></div></div>	
Father's Spouse Name <small>(If PAN not available, father's name is mandatory)</small>		Mother's Maiden Name	
FRRO Registration Details	Service Number _____	Valid From <div><div>DD</div><div>MM</div><div>YY</div><div></div><div></div><div></div></div>	Valid To <div><div>DD</div><div>MM</div><div>YY</div><div></div><div></div><div></div></div>
Citizenship	<div><div>Indian</div><div>Others</div></div>		
Address			
Mobile Number (tick preferred option)	ISD Code <div><div>TS</div><div></div><div></div></div> _____		Customer's Sign (in case of change in contact details)
<div><div>Overseas</div><div>Indian</div></div>	_____		
Email ID	_____		
Name of University <small>(If Student) / Organization (if employed)</small>	_____		
Occupation Type & Source of Income <small>(Please tick any one option)</small>	*Occupation Type	*Source of Income	
	<div><div>Retired</div><div>Homemaker</div><div>Student</div><div>Business/Professional/Self-Employed</div><div>Farmer</div><div>Service</div><div>Public</div><div>Private</div><div>Govt.</div></div>	<div><div>Pension</div><div>Investment Income</div><div>Rental Income</div><div>Family Income</div><div>Family Income</div><div>Investment Income</div><div>Rental Income</div><div>Family Income</div><div>Investment Income</div><div>Business Income / Professional</div><div>Agricultural Income</div><div>Salary</div><div>Name of Organization</div></div>	

Annual Income (INR) <small>(Please tick any one option)</small>	<div><div>0-2 Lakh</div><div>>2-5 Lakh</div><div>>5-10 Lakh</div><div>>10-25 Lakh</div><div>>25-50 Lakh</div><div>>50 Lakh - 1 Crore</div><div>More than 1 Crore</div></div>		

Note: If there is a change in your contact details or Inoperative account to be activated then, please ensure that your form and documents are submitted in person at Branch.

FATCA / CRS Declaration:

Part A			
		Yes	No
a.	Are you a citizen of any country other than India ? (dual / multiple [including Green card])	☐	☐
b.	Is your Country of birth any country other than India ?	☐	☐
c.	Are you a Tax resident of any countries other than India ?	☐	☐
d.	Do you have POA or a mandate holder who has an address outside India ?	☐	☐
e.	Is your Address or Telephone number outside India ?	☐	☐
If your answer to any of the above questions is a 'YES', please fill Part B			

Part B		
*Address of Tax Residence _____		
		*City _____
*Country of Birth _____	Place within the Country of Birth _____	
(In case Country of Birth is USA, however Nationality and Country of Tax Residency is other than USA, please provide documentary evidence)		
Source of Wealth _____		Nationality _____
Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers.		
*Country of Tax Residency	Tax Identification Number ¹	Tax Identification Document (TIN or functional equivalent)
<small>¹It is mandatory to supply a TIN or functional equivalent (in case TIN not available) if the country in which you are tax resident issues such identifiers. If no TIN / functional equivalent is yet available or has not yet been issued, please provide an explanation below: _____</small>		
<small>Please refer to below OECD website to identify the name of your Tax Identification Document https://web.archive.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/</small>		

I, _____ being the beneficial owner of the account opened / to be opened with Kotak Mahindra Bank Limited and the income credited therein, declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed.

I acknowledge that towards compliance with tax information sharing laws, such as FATCA / CRS, the Bank may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the Bank does not receive a valid self-certification from me) the Bank may be obliged to share information on my account with relevant tax authorities. Should there be any change in any information provided by me I ensure that I will intimate the Bank promptly, i.e., within 30 days.

Towards compliance with such laws, the Bank may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, the Bank may also be constrained to withhold and pay out any sums from my account or close or suspend my account(s).

I also understand that the account will be reported if any one of the aforesaid FATCA / CRS criteria for any of the account holders i.e., account will be reported if criteria is met or not met?

I understand that any inaccurate, incomplete or false disclosure of statement of financial transaction or reportable account by me would lead to penal consequence on the Bank under applicable law. The Bank shall be entitled to take any necessary action and recover from me such amount levied due to such inaccuracy, incompleteness or false disclosure. I shall indemnify the Bank in respect of all or any liabilities incurred by Bank, by reason of any of the information or particulars given by me, being incorrect or false or being suppressed or omitted.

I / We, do hereby solemnly declare, that the information provided above with respect to my / our account is true, correct and complete and the said details may be updated in the Bank records.

Customer Signature: _____ Date: _____

For Bank Use Section:

Checks (Applicable for Primary & Joint Holders)	Inoperative A/c Activation	Re-KYC Updation
Is reason mentioned for no operation in account	☐	NA
Customers sign available incase of change in contact details	NA	☐
Occupation, Source of Income & Annual Income ticked & other profile details obtained	☐	☐
Aadhaar obtained is e-KYC authenticated	☐	☐
Customer Met in Person & sign in my presence certification	☐	Optional

Signature Verified by	<div>Sign & Emp Code</div>	Receiver's Stamp	<div></div>
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Documents sent to CPC/RPC on

D

D

/

M

M

/

Y

Y

Y

Y

Customer Acknowledgement Copy: (Applicable if submitted at the branch)

Service Request No: _____ Acknowledgement Date:

D

D

/

M

M

/

Y

Y

Y

Y

Signature of the Bank Official: _____

To be used for re-KYC update for joint holder(s)

Date :

DD

MM

YYYY

Service Request No.:

CRN:

Customer Name:

FIRSTNAME

MIDDLENAME

SURNAME

Important Note:

IWe also request you to claim the funds transferred to RBI DEAF for the above mentioned account/s. IWe have attached a separate DEAF claim form.

Customer Declaration:

(Please tick the relevant Option)

☐

Consent to download KYC documents from Central KYC Registry (CKYCR)

I give my consent to download my KYC Records from the Central KYC Registry (CKYCR), only for the purpose of verification of my identity and address from the database of CKYC Registry and updation of the same in the Bank's records. I understand that my KYC record includes my personal information such as my name, address, date of birth, PAN number, etc. I agree to submit fresh KYC documents, in case the documents available in CKYCR database are not legible OR not in line with the Bank's extant KYC policy OR any other reason as specified in RBI's Master Direction of KYC (updated from time to time).

☐

Declaration for Processing of Request Without CKYCR Repository Data Check

I am voluntarily sharing my KYC documents for account opening / maintenance / KYC purpose / any other banking services and hereby authorize Kotak Mahindra Bank to process the request without the CKYCR repository data check.

KYC Information of Joint Holder

Please update the following information in your records. Also enclosed is the self-attested copy of my Passport, Visa / Permit and FRRO Registration.

Passport Details	Passport Number <div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div></div>	Date of Issue <div><div>DD</div><div>MM</div><div>YY</div></div>	Date of Expiry <div><div>DD</div><div>MM</div><div>YY</div></div>
VISA Details	VISA Type <div><div></div><div></div><div></div><div></div><div></div><div></div></div>	Date of Issue <div><div>DD</div><div>MM</div><div>YY</div></div>	Date of Expiry <div><div>DD</div><div>MM</div><div>YY</div></div>
PAN	Date of Birth <div><div>DD</div><div>MM</div><div>YY</div></div>		
<div><div>☐</div><div>Father's Spouse Name</div><div>(If PAN not available, father's name is mandatory)</div></div>	Mother's Maiden Name		
FRRO Registration Details	Service Number _____	Valid From <div><div>DD</div><div>MM</div><div>YY</div></div>	Valid To <div><div>DD</div><div>MM</div><div>YY</div></div>
Citizenship	<div><div>☐</div> Indian <div>☐</div> Others</div>		
Address			
<div><div>☐</div> Mobile Number (tick preferred option) Overseas</div>		ISD Code <div><div>ISD</div></div> _____	
<div><div>☐</div> Indian</div>		Customer's Sign (in case of change in contact details)	
Email ID _____			
Name of University (If Student) / Organization (if employed)			
Occupation Type & Source of Income (Please tick any one option)	*Occupation Type		*Source of Income
	<div><div>☐</div> Retired</div>		<div><div>☐</div> Pension <div>☐</div> Investment Income <div>☐</div> Rental Income <div>☐</div> Family Income</div>
	<div><div>☐</div> Homemaker</div>		<div><div>☐</div> Family Income <div>☐</div> Investment Income <div>☐</div> Rental Income</div>
	<div><div>☐</div> Student</div>		<div><div>☐</div> Family Income <div>☐</div> Investment Income</div>
	<div><div>☐</div> Business/Professional/Self-Employed</div>		<div><div>☐</div> Business Income / Professional</div>
	<div><div>☐</div> Farmer</div>		<div><div>☐</div> Agricultural Income</div>
Annual Income (INR) (Please tick any one option)	Service <div><div>☐</div> Public <div>☐</div> Private <div>☐</div> Govt.</div>		<div><div>☐</div> Salary Name of Organization _____</div>
	<div><div>☐</div> 0-2 Lakh <div>☐</div> >2-5 Lakh <div>☐</div> >5-10 Lakh <div>☐</div> >10-25 Lakh <div>☐</div> >25-50 Lakh <div>☐</div> >50 Lakh - 1 Crore <div>☐</div> More than 1 Crore</div>		

FATCA / CRS Declaration:

Part A			
		Yes	No
a.	Are you a citizen of any country other than India ? (dual / multiple [including Green card])	—	—
b.	Is your Country of birth any country other than India ?	—	—
c.	Are you a Tax resident of any countries other than India ?	—	—
d.	Do you have POA or a mandate holder who has an address outside India ?	—	—
e.	Is your Address or Telephone number outside India ?	—	—
If your answer to any of the above questions is a 'YES', please fill Part B			

Part B		
*Address of Tax Residence _____		
		*City _____
*Country of Birth _____	Place within the Country of Birth _____	
(In case Country of Birth is USA, however Nationality and Country of Tax Residency is other than USA, please provide documentary evidence)		
Source of Wealth _____		Nationality _____
Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers.		
*Country of Tax Residency	Tax Identification Number ¹	Tax Identification Document (TIN or functional equivalent)
<small>¹It is mandatory to supply a TIN or functional equivalent (in case TIN not available) if the country in which you are tax resident issues such identifiers. If no TIN / functional equivalent is yet available or has not yet been issued, please provide an explanation below: _____</small>		
<small>Please refer to below OECD website to identify the name of your Tax Identification Document https://web.archive.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/</small>		

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I / We, do hereby solemnly declare, that the information provided above with respect to my / our account is true, correct and complete and the said details may be updated in the Bank records.

Customer Signature: _____

Date: _____